

## Total Proxy Management Solution: Voting Through Disclosure

### What Customers\* Say About Glass Lewis...

- 100% customer satisfaction with voting platform
- 100% say Glass Lewis understands their business requirements
- 100% would recommend or consider recommending Glass Lewis for proxy voting

Accurate and timely voting of proxies is increasingly important to the success of institutional investors. Regulators and plan beneficiaries expect fiduciaries to exercise the utmost care with proxy votes. Glass Lewis provides comfort to such fiduciaries with ViewPoint, the world's most accurate, transparent and reliable proxy voting system.

**Glass Lewis' ViewPoint voting system is highly flexible and configurable, allowing clients to vote proxies in accordance with their own policies, workflow and compliance priorities.**

The system and professional services provided by Glass Lewis ensure that institutional investors receive all proxies they are entitled to vote; that those proxies are instructed properly, in accordance with investors' policies; and that votes are transmitted correctly. And, of course, the system provides a wide range of reporting options to ensure investors can fulfill their regulatory, fiduciary and contractual obligations.

The solution, which enables partial or complete automation of the proxy voting process, includes modules for guideline development; client account setup and custodian interaction; proxy analysis and decision making; custom policy implementation; electronic vote submission; record-keeping; auditing; standard and custom reporting (Form N-PX, SEDAR and other regulatory reporting) Form N-PX creation and optional vote record web hosting.

**No other system provides the complete transparency that ViewPoint offers.**

ViewPoint not only provides investors with a comprehensive and reliable voting solution but also permits users to monitor and audit the work Glass Lewis does on their behalf. ViewPoint allows users to log on and view the status of account set-up, ballot receipt, ballot reconciliation, vote casting processes and the creation of vote disclosure reports, including Form N-PX/SEDAR.

The system is powered by Glass Lewis' objective analysis of corporate governance issues, economic and financial matters and M&A transactions that come before shareholders for a vote. Glass Lewis guarantees coverage of all publicly-traded equity, mutual fund and LP securities in client portfolios. The standard universe for its proxy research service, called Proxy Paper, now includes 20,000+ public companies across 100 markets.

More than 600 institutions worldwide have selected Glass Lewis as their partner because they value the firm's independent, expert analysis and leading-edge technology. And, most of all, they know they can rely on Glass Lewis' dedicated, experienced team across the globe to provide the support and service they need to ensure their governance and compliance programs are a success.

# ViewPoint® Voting System

GLASS  
LEWIS & Co.

## Key Components to a Successful Proxy Voting Program

Good technology supported by diligent client service



### Account Set-Up

- Custodian communications
- Holdings processing
- Proxy distributor relations

### Informed Decision Making

- Objective, contextual research for the proxies of all holdings
- Custom policy development
- Custom policy implementation

### Vote Instruction and Execution

- Advanced, proven technology
- Secure systems
- Partial or fully-automated voting
- Customizable alerts across all functions

### Custom Reporting and Disclosure

- Real-time management and client reporting
- Voting analytics
- Custom data feeds
- Regulatory reports on demand, year-round

### Reconciliation and Auditing

- Account reconciliation
- Ballot reconciliation
- Daily, monthly and quarterly reviews
- Exceptions management

### High-Touch Client Service

- Qualified, experienced team
- Rated best in industry
- Accessible 24/7

### Institutional investors choose ViewPoint for its flexibility...

- All voting screens and processes are configurable.
- Institutions can provide access and define roles and permissions for different users – both internal and external to their organization.
- Account management module can reflect any client's internal account structure.
- System enables voting according to one or multiple policies.
- Robust report options include N-PX and other regulatory reports on demand.
- All forms of voting supported, including in-person and split or partial voting.

### Transparency...

- Allows for online auditing of entire voting process, including account set-up and ballot reconciliation.
- Audit trails capture the date, time and individual responsible for each step in the life of a ballot.
- Vote confirmations from ballot distributors are displayed for each ballot.

### Proven Track Record...

- 24/7/365 system availability with less than 0.1% in unscheduled system downtime.
- Scalable system that has supported 20%-30% annual growth in transaction volumes since its inception in 2005.
- Millions of transactions processed since original release.

### And Superior Customer Support...

- Glass Lewis Client Services has a historical turnover rate of less than 5%; clients benefit from long-term relationships with their team members.
- Client service managers are steeped in the mechanics of the proxy voting process and the nuances of client policies so they can act as a single point of contact for their clients.
- Satisfaction surveys are sent to clients each year to measure performance and solicit feedback.

### Offices

San Francisco  
New York  
Washington, D.C.  
Sydney  
Paris  
Lugano  
Tokyo

+1 888.800.7001

info@glasslewis.com

www.glasslewis.com